05-10-01

05/09/01

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 12873A

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C	111(a) and 37 C.F.R. 1.53(t	b) is a new utility patent application for an
invention entitled:		

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	I Q Uu) CK	RYSTAL DISPLAY DEVICE	0
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 and i	nvent	ed b	у:	520 0
			SAKAMOTO	80/0/0
				30 0
			ATION APPLICATION, check appropriate box and supply the requisite information:	
	C ni		ation Divisional Continuation-in-part (CIP) of prior application No.:	09/363,868
: 125; : 125;	ch is a		ation Divisional Continuation-in-part (CIP) of prior application No.:	
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뒥	Cont		ntion Divisional Continuation-in-part (CIP) of prior application No.:	
				
Enc	losed	are:	•	
1			Application Elements	
1	. 🗷	Fili	ing fee as calculated and transmitted as described below	
2	. X	Sp	ecification having 58 pages and including the following:	
	a.	X	Descriptive Title of the Invention	
	b.	X	Cross References to Related Applications (if applicable)	
	C.		Statement Regarding Federally-sponsored Research/Development (if applicable)	
	d.		Reference to Microfiche Appendix (if applicable)	
	e.	X	Background of the Invention	
	f.	X	Brief Summary of the Invention	
	g.	X	Brief Description of the Drawings (if drawings filed)	
	h.	X	Detailed Description	
**	i.	×	Claim(s) as Classified Below	
+	j.	×	Abstract of the Disclosure	

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Application Elements (Continued)

~3.	X	Drawing(s) (when necessary as prescribed by 35 USC 113)						
	a.	Formal Number of Sheets 12						
	b.	☐ Informal Number of Sheets						
4.	X	Oath or Declaration						
	a.	☐ Newly executed (original or copy) ☐ Unexecuted						
	b.	Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)						
, `	C.	☑ With Power of Attorney ☐ Without Power of Attorney						
	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).						
11 11 11 15 5.		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.						
6.		Computer Program in Microfiche (Appendix)						
7.		Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)						
	a.	☐ Paper Copy						
	b.	☐ Computer Readable Copy (identical to computer copy)						
	C.	☐ Statement Verifying Identical Paper and Computer Readable Copy						
		Accompanying Application Parts						
8.		Assignment Papers (cover sheet & document(s))						
9.		37 CFR 3.73(B) Statement (when there is an assignee)						
10.		English Translation Document (if applicable)						
11.		Information Disclosure Statement/PTO-1449 Copies of IDS Citations						
12.	X	Preliminary Amendment						
13.	X	Acknowledgment postcard						
14.	X	Certificate of Mailing						
		☐ First Class ☑ Express Mail (Specify Label No.): EF-071-190-563-US						

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

after filing of the application.

Docket No. 12873A

Total Pages in this Submission

15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.	×	Additional Enclosures (please identify below):
		Japanese Application
		English Language Translation with Verification of Translator
		Assignee: NEC Corporation
•		Tokyo, Japan
		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)
17.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be
Ī		published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed

Warning

in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 12873A

Total Pages in this Submission
4

Fee Calculation and Transmittal

CLAIMS AS FILED						,
For	#Filed	#Allowed	#Extra		Rate	Fee
otal Claims	14	- 20 =	0	×	\$18.00	\$0.00
ndep. Claims	4	- 3 =	1	×	\$80.00	\$80.00
Aultiple Dependent	Claims (check	if applicable)				\$0.00
	ALCULATE C	LAIMS AS AN	MENDED		BASIC FEE	\$710.00
OTHER FEE (specif						\$0.00
					TOTAL FILING FEE	\$790.00

The Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013 SSMP as described below. A duplicate copy of this sheet is enclosed.

☐ Charge the amount of

as filing fee.

☑ Credit any overpayment.

☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance,

pursuant to 37 C.F.R. 1.311(b).

Signature

Dated: May 9, 2001

Paul J. Esatto, Jr. Registration No. 30,749

Scully, Scott, Murphy & Presser

400 GardenCity Plaza

Garden City, New York 11530

(516) 742-4343

CC:

CERTIFICATE OF MAIL BY "EXPRESS MAIL" (37 CFR 1.1. Docket No. Applicant(s): MICHIAKI SAKAMOTO 12873A					
Serial No. unassigned	Examiner unassigned	Group Art Unit unassigned			
Invention: LIQUID CR	YSTAL DISPLAY DEVICE				
I hereby certify that th DIVISIONAL APPLIC		of correspondence)			
(Identify type of correspondence) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231					
May 9, (Date)		Michelle Mustafa (Typed or Printed Name of Person Mailing (Signature of Person Mailing Corre EF-071-190-563-U ("Express Mail" Mailing Label	c Correspondence) spondence)		

Note: Each paper must have its own certificate of mailing.

VERIFICATION OF TRANSLATION

I. Hiromi Ogata, a citizen of Japan. residing at c/o YAMASHITA & ASSOCIATES of TORANOMON 40TH MORI BUILDING, 13-1, TORANOMON 5-CHOME MINATO-KU, TOKYO, JAPAN, 7105-0001,

declare that I am thoroughly familiar with the English and Japanese languages, and am qualified to translate Japanese into English.

I further declare that the attached document is a true and faithful English translation made by me of Japanese-language patent application originally filed at U.S.P.T.O on July 29, 1999, entitled:

"LIQUID CRYSTAL DISPLAY DEVICE AND METHOD OF MANUFACTURING THE SAME"

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: October 15, 1999

TODIAL.

<u>Hiromi Ogata</u>